

## 2nd part of the lecture: Elasticity theory and beyond

- basics: strain, stress, elastic energy, governing equations
- basic examples
- Pappkovich-Neuber approach
- Green's function: Thomson, Boussinesq
- beam theory
  - elastic plates and shells
  - Contact mechanics
  - Eshelby theory (Benj.)
- viscoelasticity and poroelasticity
- stresses in tissues
- cell rheology: methods and basic results

5 lectures left

→ choose topics

What is a

fluid?

- no long range molec. order
- no shear elasticity  
↳ no shape memory

solid

- long-range molecular order
- shear elasticity  
↳ shape memory



hydrodynamics



elasticity theory

---

# Elasticity theory

## I. Introduction

### I.1. General

- solid bodies (rubber, steel, ...)

- shape memory, tend to return to their original shape / configuration after external forces are switched off  
 $\equiv$  elastic

- contrasts to plastic behaviour  
 $\equiv$  ext. forces cause irreversible deformation

- elastostatics

$\equiv$  study of elastic deformations under condition of force equilibrium

$\rightarrow$  no time changes, no movements

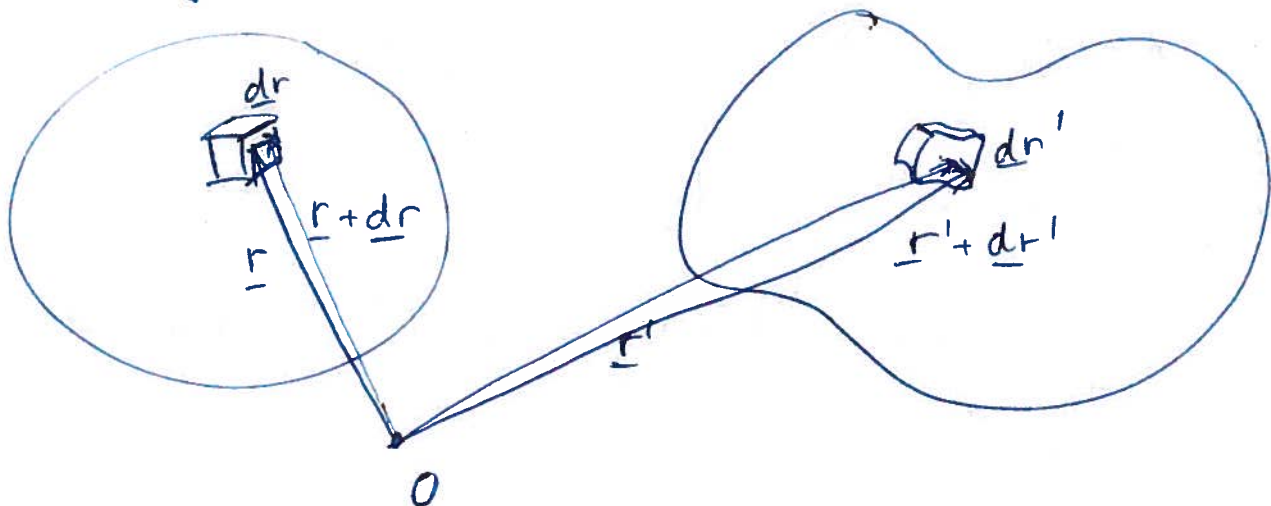
$\rightarrow$  will focus on this

Literature: Landau & Lifshitz

Audoly, Pomeau "Elasticity & Geometry"

A. Lurie: "Theory of Elasticity"

### I.2. Deformation of a solid via external forces



- point at position  $\underline{r}$  is translocated to  $\underline{r}'(\underline{r})$

$$\underline{r} \rightarrow \underline{r}'(\underline{r})$$

displacement field

$$\underline{u}(\underline{r}) := \underline{r}'(\underline{r}) - \underline{r}$$

- changes of distance between points  
angle between points

Distance change

$$\begin{aligned} d\underline{r}'^2 &= d(\underline{r} + \underline{u})^2 = \left( dr_i + \frac{\partial u_i}{\partial r_j} dr_j \right)^2 \\ &= d\underline{r}^2 + 2 \frac{\partial u_i}{\partial r_j} dr_i dr_j + \frac{\partial u_i}{\partial r_j} \frac{\partial u_i}{\partial r_k} dr_j dr_k \end{aligned}$$

$$= d\underline{r}^2 + \left( \frac{\partial u_i}{\partial r_j} + \frac{\partial u_j}{\partial r_i} \right) dr_i dr_j + \frac{\partial u_i}{\partial r_j} \frac{\partial u_i}{\partial r_k} dr_j dr_k$$

3. Introduce the strain tensor

$$\varepsilon_{ij} := \frac{1}{2} \left( \frac{\partial u_i}{\partial r_j} + \frac{\partial u_j}{\partial r_i} \right) + \frac{1}{2} \frac{\partial u_k}{\partial r_i} \frac{\partial u_k}{\partial r_j}$$

we have

$$d\underline{r}'^2 - d\underline{r}^2 = 2 \varepsilon_{ij} dr_i dr_j$$

- strain rate from hydrodynamics  $\Gamma$  is time-derivative

$$\Gamma = \dot{\varepsilon}$$

## Approximation of small displacement:

- assuming  $u(\underline{r})$  is small, strain can be linearised

$$\epsilon_{ij}(\underline{r}) \approx \frac{1}{2} \left( \frac{\partial u_i}{\partial r_j} + \frac{\partial u_j}{\partial r_i} \right) + O(u^2)$$

- full-body translations or rotations can be disregarded in displacement, only transformations matter that change distance between points in the body

→ adopt this approximation now

- principal strain directions

→ strain tensor can be diagonalized by rotation of coord. system

$$\hat{\epsilon}_{ij} = \begin{pmatrix} \hat{\epsilon}_{xx} & 0 & 0 \\ 0 & \hat{\epsilon}_{yy} & 0 \\ 0 & 0 & \hat{\epsilon}_{zz} \end{pmatrix}$$



example stretch along  $x$ -direction combined with  $x$ - $y$  rotation by angle  $\phi$

$$x' = \lambda \cdot x \cos \phi - y \sin \phi$$

$$y' = \lambda x \sin \phi + y \cos \phi$$

$$z' = z$$

displacement  $\underline{u} = \begin{pmatrix} \lambda(\cos \phi - 1)x + y \sin \phi \\ \lambda x \sin \phi + y(\cos \phi - 1) \\ 0 \end{pmatrix}$

$\epsilon_{ij} = 0$  except for  $\epsilon_{xx}$

$$\epsilon_{xx} = \underbrace{\frac{\partial u_x}{\partial x}}_{\lambda \cos \phi - 1} + \frac{1}{2} \left( \underbrace{\left( \frac{\partial u_x}{\partial x} \right)^2}_{\lambda^2 \cos^2 \phi - 2\lambda \cos \phi + 1} + \underbrace{\left( \frac{\partial u_y}{\partial x} \right)^2}_{\lambda^2 \sin^2 \phi} + \underbrace{\left( \frac{\partial u_z}{\partial x} \right)^2}_0 \right)$$

$$= \lambda \cos \phi - 1 + \frac{\lambda^2}{2} + \frac{1}{2} - \lambda \cos \phi$$

$$= \frac{\lambda^2 - 1}{2}$$

→ strain removes rigid body rotation after stretching

→ this relies on quadratic terms in strain tensor for arbitrary deformations in this example

- stretching of length element in x-direction

$$\frac{|dr_x'|}{|dr_x|} = \frac{\sqrt{dx^2 + 2\hat{\epsilon}_{xx} dx^2}}{\sqrt{dx^2}}$$

$$= \sqrt{1 + 2\hat{\epsilon}_{xx}}$$

$\hat{\approx} 1 + \hat{\epsilon}_{xx}$   
↑  
small displ., strain

- Each axis of principal strain is stretched by a factor  $\lambda_i = 1 + \epsilon_{ii}$

- Volume change

$$dV' = dx' dy' dz'$$

$$\begin{aligned} &= (1 + \hat{\epsilon}_{xx}) dx (1 + \hat{\epsilon}_{yy}) dy (1 + \hat{\epsilon}_{zz}) dz \\ \text{small strain} &\rightarrow \cong (1 + \hat{\epsilon}_{xx} + \hat{\epsilon}_{yy} + \hat{\epsilon}_{zz}) dx \cdot dy \cdot dz + O(\epsilon^2) \end{aligned}$$

$$= (1 + \text{tr } \epsilon) dV$$

relative volume change  $\frac{dV' - dV}{dV} = \text{tr } \epsilon$

## 4 Stress tensor

- when bodies get deformed, arrangement of molecules/atoms gets out of equilibrium

↳ internal, restoring forces  $\equiv$  internal stresses

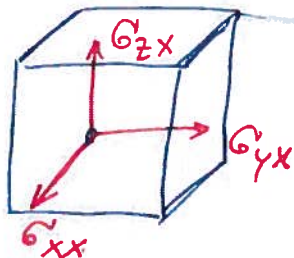
\* short-ranged (not like gravity or electr. fields)

\* force on portion of body is the sum of forces acting on subvolumes

$$\vec{F}_{\text{tot}} = \int \vec{f} dV$$

\* the exterior of a volume element exerts forces via contact surfaces

→ force can be written as surface integral



$$\vec{F}_{\text{tot}} = \int_{\partial V} \text{div } \underline{\underline{\sigma}} \cdot dV = \int \frac{\partial}{\partial r_k} \sigma_{ik} dV$$

$$\boxed{f_i = \frac{\partial}{\partial r_k} \sigma_{ik}} = \int_{\partial V} \sigma_{ik} dS_k$$

-  $\begin{pmatrix} \sigma_{xx} \\ \sigma_{yx} \\ \sigma_{zx} \end{pmatrix} ds^2$  is the force acting

on surface of a cube with surface normal  $\underline{e}_x$ , side length  $ds$

→ force exerted by the exterior of this volume element onto this volume element





$\mu \dots$  shear modulus  
(also  $G$  in the literature)

→ Hookean elasticity

(Hookean spring  $F = k \frac{x^2}{2}$ )

- What is the <sup>infinitesimal</sup> work associated to <sup>infinitesimally</sup> deform a body via surface forces

$$\delta W = \int_{\partial V} \underline{f}^{\text{ext}} \cdot \underline{\delta u} \, dA = \int_{\partial V} (\underline{\sigma} \cdot \underline{n}) \cdot \underline{\delta u} \, dA$$

$$= \int_{\partial V} (\underline{\sigma} \cdot \underline{\delta u}) \cdot d\underline{A}$$

$$= \int_V \text{div} (\underline{\sigma} \cdot \underline{\delta u}) \, dV$$

$$= \int_V \underbrace{\partial_j \sigma_{ij} \delta u_i}_{\stackrel{=0}{\text{(no interior forces)}}} + \sigma_{ij} \partial_j \delta u_i \, dV$$

$$= \int_V \sigma_{ij} \delta \epsilon_{ij} \, dV$$

- Because of the above result for the work associated with displacement, we have to have

$$\sigma_{ij} = \left. \frac{\partial E}{\partial \epsilon_{ij}} \right|_{T=\text{const.}} \Rightarrow E = \frac{1}{2} \sigma_{ij} \epsilon_{ij} \quad (\text{free energy density})$$

using

$$E = E_0 + \frac{1}{2} k \epsilon_{\ell\ell}^2 + \mu (\epsilon_{ik} - \frac{1}{3} \text{tr}(\epsilon) \delta_{ik})^2$$

$$\Rightarrow dE = k \epsilon_{\ell\ell} d\epsilon_{\ell\ell} + 2\mu (\epsilon_{ik} - \frac{1}{3} \epsilon_{\ell\ell} \delta_{ik}) \cdot d(\epsilon_{ik} - \frac{1}{3} \epsilon_{\ell\ell} \delta_{ik})$$

$$= K \varepsilon_{ee} d\varepsilon_{ee} + 2\mu (\varepsilon_{ik} - \frac{1}{3} \varepsilon_{ee} \delta_{ik}) d\varepsilon_{ik}$$

$$\leadsto \sigma_{ik} = K \varepsilon_{ee} \delta_{ik} + 2\mu (\varepsilon_{ik} - \frac{1}{3} \varepsilon_{ee} \delta_{ik})$$

short calculation gives

$$\varepsilon_{ik} = \delta_{ik} \frac{\sigma_{ee}}{3K} + \left( \sigma_{ik} - \frac{1}{3} \sigma_{ee} \delta_{ik} \right) / 2\mu$$

Comments:

- Note:  $\sigma_{ik} \propto \varepsilon_{ik}$  for  $i \neq k$

- pure shear:  $\varepsilon_{ee} = 0 \rightarrow \sigma_{ik} = 2\mu \varepsilon_{ik}$

- pure compression / expansion

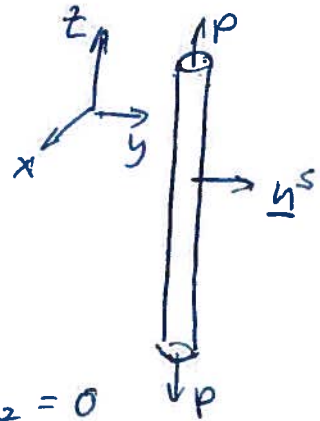
$$\sigma_{ik} = K \varepsilon_{ee} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

## I.6. Homogeneous extension of a rod

- forces are applied at the ends of the rod

$$\sigma_{iz} = \text{const.}$$

$$\sigma_{ir} = \text{const.}$$



$$\underline{\underline{\sigma}} \cdot \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = P \cdot \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \rightarrow \sigma_{zz} = P$$

$$\underline{\underline{\sigma}} \cdot \underline{\underline{n}}^s = 0 \rightarrow \sigma_{xx} = \sigma_{xy} = \sigma_{yy} = \sigma_{xz} = 0$$

$$\Rightarrow \epsilon_{xx} = \epsilon_{yy} = -\frac{1}{3} \left( \frac{1}{2\mu} - \frac{1}{3k} \right) P$$

$$\epsilon_{zz} = \frac{1}{3k} P + \frac{1}{3\mu} P$$

$\epsilon_{zz}$  is the relative lengthening of the rod

$$E := \frac{P}{\epsilon_{zz}} \quad \text{Young's modulus}$$

$$= \frac{9k\mu}{3k + \mu}$$

$\epsilon_{xx}, \epsilon_{yy}$  is the relative compression in the transverse direction

$$\nu := -\frac{u_{xx}}{u_{zz}} = \frac{1}{2} \frac{(3k - 2\mu)}{(3k + \mu)}$$

Poisson's ratio

$$-1 \leq \nu \leq \frac{1}{2}$$

$$\nu = \frac{1}{2} \iff \text{incompressible material} \\ (k \rightarrow \infty)$$

-  $\mu, k$  can be substituted by  $\nu, E$

$$\sigma_{ik} = \frac{E}{(1+\nu)} \left( \epsilon_{ik} + \frac{\nu}{(1-2\nu)} \epsilon_{\ell\ell} \delta_{ik} \right)$$

$$\sigma_{\ell\ell} = \frac{E}{1-2\nu} \epsilon_{\ell\ell}$$

case  $\nu = 1/2$  (pure shear), then

$$\epsilon_{ik} = \frac{(1+\nu)}{E} \sigma_{ik}$$



## I 7. Equations of equilibrium

$$\underline{F}_{int} + \underline{F}_{ext} = 0$$

$$\partial_j \sigma_{ij} + \underline{F}_{i,ext} = 0$$

(for instance,  $\underline{F}_{ext}$  can be given by gravity)

$$\partial_j \sigma_{ij} + \rho g_i = 0, \quad \rho \dots \text{mass density}$$
$$\underline{g} = g \cdot \underline{e}_z$$

Substituting  $\underline{u}$  into this equation, gives

$$\frac{E}{2(1+\nu)} \left( \Delta \underline{u} + \frac{1}{(1-2\nu)} \underbrace{\nabla \nabla \cdot \underline{u}}_{\text{grad div}} \right) = -\underline{F}_{ext}$$
$$\left( = -\rho \underline{g} \right)$$

often external forces act only on boundary,  
then

$$(1-2\nu)\Delta \underline{u} + \nabla \nabla \cdot \underline{u} = 0 \quad (*)$$

it follows:  $\nabla (*) = 0$

$$\hookrightarrow \Delta \text{div } \underline{u} = 0$$

$$\Delta(*) = 0 \rightarrow \Delta \Delta \underline{u} = 0$$

$\Rightarrow \text{div } \underline{u}$  is a harmonic function  
 $\underline{u}$  is a biharmonic vector

→ extra tools for solving equations of equilibrium

## I.8 Equilibrium condition in curvilinear coordinates

example: cylindrical coordinates

$$\underline{r} = \begin{pmatrix} \rho \cos \varphi \\ \rho \sin \varphi \\ z \end{pmatrix}, \text{ coord.: } \varphi, \rho, z$$

basis vectors of tangent space

$$= \underline{e}_\varphi = \begin{pmatrix} -\rho \sin \varphi \\ \rho \cos \varphi \\ 0 \end{pmatrix} \Big|_{\partial_\varphi \underline{r}} = \underline{e}_\rho = \begin{pmatrix} \cos \varphi \\ \sin \varphi \\ 0 \end{pmatrix} \Big|_{\partial_\rho \underline{r}} = \underline{e}_z = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

Challenge:  $\underline{e}_\varphi, \underline{e}_\rho$  depend on coordinates and are not constant

equilibrium condition in cartesian coord:

$$\partial_j \sigma_{ij} = 0$$

transforms to  $\nabla_j \sigma_{ij} = 0$ ,  $\nabla_j \dots$  covariant derivative of the coord. system

covariant derivatives take into account variation of basis vectors of the tangent space

rank 2 tensor  $\underline{t} = t^{jk} \underline{e}_j \otimes \underline{e}_k$

Application of cov. derivative

$$\nabla_i (t^{jk} \underline{e}_j \otimes \underline{e}_k) = \partial_i t^{jk} \underline{e}_j \otimes \underline{e}_k + t^{jk} \underline{e}_j \otimes \nabla_i \underline{e}_k + t^{jk} \nabla_i \underline{e}_j \otimes \underline{e}_k$$

$$= \partial_i t^{jk} \underline{e}_j \otimes \underline{e}_k + t^{jk} \Gamma_{ij}^{\ell} \underline{e}_\ell \otimes \underline{e}_k + t^{jk} \underline{e}_j \otimes \Gamma_{ik}^{\ell} \underline{e}_\ell$$

$$= \partial_i t^{jk} \underline{e}_j \otimes \underline{e}_k + \underbrace{t^{\ell k} \Gamma_{i\ell}^j}_{\ell \leftrightarrow j} \underline{e}_j \otimes \underline{e}_k + \underbrace{t^{j\ell} \Gamma_{i\ell}^k}_{\ell \leftrightarrow k} \underline{e}_j \otimes \underline{e}_k$$

$$\rightarrow \nabla_i \underline{t} = \left( \partial_i t^{jk} + t^{\ell k} \Gamma_{i\ell}^j + t^{j\ell} \Gamma_{i\ell}^k \right) \underline{e}_j \otimes \underline{e}_k$$

$$\text{Therefore } 0 = \nabla_i \sigma^{ji} = \partial_i \sigma^{ji} + \Gamma_{i\ell}^j \sigma^{\ell i} + \Gamma_{i\ell}^i \sigma^{j\ell}$$

... new equilibrium condition

For cylindrical and spherical coordinates

$$\Gamma_{ij}^{\ell} = (\partial_i \underline{e}_j) \cdot \underline{e}_\ell$$

Cylindr. coord.:

$$\underline{e}_\varphi = \begin{pmatrix} -r \sin \varphi \\ r \cos \varphi \\ 0 \end{pmatrix} \rightarrow \partial_\varphi \underline{e}_\varphi = \begin{pmatrix} -r \cos \varphi \\ -r \sin \varphi \\ 0 \end{pmatrix} = -r \underline{e}_r$$

$$\leadsto \Gamma_{\varphi\varphi}^r = -r$$

$$\partial_r \underline{e}_\varphi = \begin{pmatrix} -\sin \varphi \\ \cos \varphi \\ 0 \end{pmatrix} = \frac{\underline{e}_\varphi}{r}$$

$$\leadsto \Gamma_{r\varphi}^\varphi = \frac{1}{r}$$

$$\partial_\varphi \underline{e}_r = \begin{pmatrix} -\sin \varphi \\ \cos \varphi \\ 0 \end{pmatrix} = \frac{\underline{e}_\varphi}{r} \leadsto \Gamma_{\varphi r}^r = \frac{1}{r}$$

all other components are zero

$$\begin{aligned} \nabla_i g^{\varphi i} &= \partial_i g^{\varphi i} + \underbrace{\Gamma_{ie}^{\varphi} g^{ei}} + \underbrace{\Gamma_{ie}^i g^{\varphi e}} \\ &= \partial_i g^{\varphi i} + \Gamma_{\varphi\varphi}^{\varphi} g^{\varphi\varphi} + \Gamma_{\varphi\varphi}^{\varphi} g^{\varphi\varphi} + \Gamma_{\varphi\varphi}^{\varphi} g^{\varphi\varphi} \\ &= \partial_i g^{\varphi i} + \frac{3}{\varrho} g^{\varphi\varphi} \end{aligned}$$

now  $\underline{g} = g^{ij} \underline{e}_i \otimes \underline{e}_j$ ,  $\underline{e}_\varphi$  is not normalized

$$\underline{e}_\varphi = \varrho \cdot \hat{\underline{e}}_\varphi$$

$$\begin{aligned} \sim g_{\varphi\varphi} \underline{e}_\varphi \otimes \underline{e}_\varphi &= \varrho^2 g_{\varphi\varphi} \hat{\underline{e}}_\varphi \otimes \hat{\underline{e}}_\varphi \\ &= \hat{g}_{\varphi\varphi} \hat{\underline{e}}_\varphi \otimes \hat{\underline{e}}_\varphi \end{aligned}$$

$$g_{\varphi\varphi} = \frac{\hat{g}_{\varphi\varphi}}{\varrho^2}, \text{ analogously } g_{\varphi\varphi} = \frac{\hat{g}_{\varphi\varphi}}{\varrho}$$

$$g_{\varphi z} = \frac{\hat{g}_{\varphi z}}{\varrho}$$

$$\nabla_i g^{\varphi i} = \partial_\varphi g^{\varphi\varphi} + \partial_\varrho g^{\varphi\varrho} + \partial_z g^{\varphi z} + \frac{3}{\varrho} g^{\varphi\varphi} = 0$$

$$0 = \partial_\varphi \left( \frac{\hat{g}_{\varphi\varphi}}{\varrho^2} \right) + \partial_\varrho \left( \frac{\hat{g}_{\varphi\varrho}}{\varrho} \right) + \partial_z \frac{\hat{g}_{\varphi z}}{\varrho} + \frac{3}{\varrho^2} \hat{g}_{\varphi\varphi} \quad | \cdot \varrho$$

$$0 = \frac{\partial_\varphi \hat{g}_{\varphi\varphi}}{\varrho} + \partial_\varrho \hat{g}_{\varphi\varrho} - \frac{\hat{g}_{\varphi\varrho}}{\varrho} + \partial_z \hat{g}_{\varphi z} + \frac{3}{\varrho} \hat{g}_{\varphi\varphi}$$

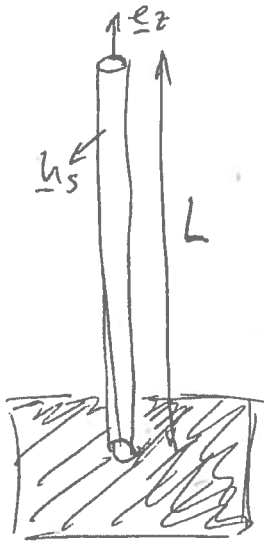
$$0 = \frac{\partial_\varphi \hat{g}_{\varphi\varphi}}{\varrho} + \partial_\varrho \hat{g}_{\varphi\varrho} + \partial_z \hat{g}_{\varphi z} + \frac{2}{\varrho} \hat{g}_{\varphi\varrho}$$

text book equation

$\nabla_i g^{\varrho i}$ ,  $\nabla_i g^{zi}$  follow accordingly

## I. 9. Simple examples

- 1) Determine the deformation of a long rod standing vertically due to gravity



$$\left( \begin{array}{c} \partial_i \sigma_{xi} \\ \partial_i \sigma_{yi} \\ \partial_i \sigma_{zi} \end{array} \right) = \rho \cdot g \cdot \left( \begin{array}{c} 0 \\ 0 \\ 1 \end{array} \right) \quad \text{mass density}$$

no forces at the boundary at the top  
and on the sides

$$\text{top: } \underline{\sigma} \cdot \underline{e}_z = \left( \begin{array}{c} 0 \\ 0 \\ 0 \end{array} \right) = \left( \begin{array}{c} \sigma_{xz} \\ \sigma_{yz} \\ \sigma_{zz} \end{array} \right) \Big|_{z=L}$$

$$\text{sides: } \underline{\sigma} \cdot \underline{u}_s = \left( \begin{array}{c} 0 \\ 0 \\ 0 \end{array} \right) \Rightarrow \sigma_{ij} = 0 \text{ except } \sigma_{zz}$$

assume no x-y-dependence of  $\sigma_{ij}$

Equilibrium cond. reads

$$\partial_z \sigma_{zz} = \rho \cdot g$$

$$\sigma_{zz} = \rho \cdot g \cdot z + a(x, y)$$

$$\text{bound. cond. } \sigma_{zz}(L) = 0 = \rho \cdot g \cdot L + a(x, y)$$

$$\sigma_{zz} = \rho \cdot g (z - L) \quad \leadsto a = -\rho g L$$

$$\varepsilon_{ij} = \frac{1}{E} \left[ (1+\nu) \sigma_{ij} - \nu \sigma_{kk} \delta_{ij} \right]$$

$$\rightarrow \varepsilon_{zz} = \frac{1}{E} \rho g (z - L), \quad \varepsilon_{xx} = \varepsilon_{yy} = -\frac{\nu}{E} \rho g (z - L)$$

$$\varepsilon_{xy} = \varepsilon_{zy} = \varepsilon_{xz} = 0$$



By integration, we get displacements

$$\epsilon_{xx} = \partial_x u_x \rightarrow u_x = -\nu \rho g \frac{(z-L)x}{E}$$

$$u_y = -\nu \rho g \frac{(z-L)y}{E}$$

$$u_z = + \frac{\rho g}{2E} \left( (L-z)^2 - L^2 \right) + f_z(x,y)$$

$$\partial_x u_z + \partial_z u_x = 0$$

$$\partial_y u_z + \partial_z u_y = 0$$

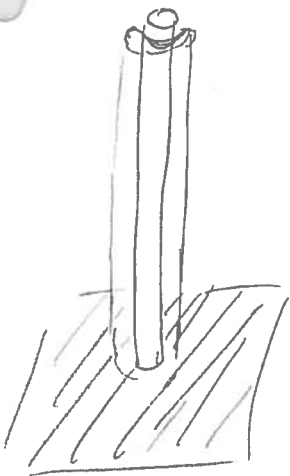
$$\partial_x f_z = + \frac{\nu \rho g}{E} \cdot x \quad \left. \vphantom{\partial_x f_z} \right\} f_z = + \frac{\nu \rho g}{2E} (x^2 + y^2)$$

$$\partial_y f_z = + \frac{\nu \rho g}{E} \cdot y$$

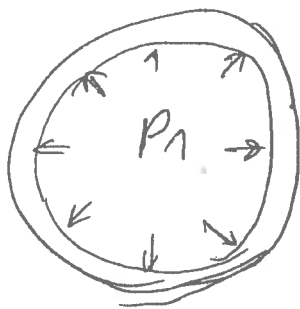
$$\rightarrow u_z = + \frac{\rho g}{2E} \left[ \left( (L-z)^2 - L^2 \right) + \nu (x^2 + y^2) \right]$$

$u_z(0) = 0$  only holds at  $z=0$

$\hookrightarrow$  inconsistency  $\leadsto$  solution does not hold at bottom of the rod



2) Determine the deformation of a hollow sphere with pressure  $p_1$  inside,  $p_2$  outside



rotational symmetry  
 $\rightarrow \underline{u} = u_r(r) \cdot \underline{e}_r$   
 (no  $\varphi$ , or  $\theta$  dependence,  
 no displacement comp.  
 parallel to  $\underline{e}_\varphi$  or  $\underline{e}_\theta$ )

$$\rightarrow \epsilon_{rr} = \partial_r u_r$$

$$\epsilon_{\varphi\varphi} = \epsilon_{\theta\theta} = \frac{u_r}{r}, \quad \epsilon_{r\theta} = \epsilon_{r\varphi} = \epsilon_{\theta\varphi} = 0$$

$$(1-2\nu)\Delta \underline{u} + \text{grad div } \underline{u} = 0 \quad \leftarrow \text{no external forces, apart from boundaries}$$

$$(1-2\nu)(-\nabla \times \nabla \times \underline{u} + \text{grad div } \underline{u}) + \text{grad div } \underline{u} = 0$$

$$\nabla \times \underline{u} = 0 \quad \rightarrow \quad \text{grad div } \underline{u} = 0$$

$$\text{grad} \left( \frac{1}{r^2} \partial_r r^2 u_r \right) = 0$$

$$\partial_r \frac{1}{r^2} \partial_r (r^2 u_r) = 0$$

$$\frac{1}{r^2} \partial_r (r^2 u_r) = \text{const.} \\ =: 3a$$

$$\partial_r (r^2 u_r) = 3ar^2$$

$$u_r = ar + \frac{b}{r^2}$$

$$\epsilon_{rr} = a - \frac{2b}{r^3}$$

$$\epsilon_{\theta\theta} = \epsilon_{\varphi\varphi} = a + \frac{b}{r^3}$$

$$\epsilon_{\varphi\varphi} = \epsilon_{\theta\theta}$$

$$\sigma_{rr} = \frac{E}{(1+\nu)} \left( \epsilon_{rr} + \frac{\nu}{1-2\nu} \epsilon_{\theta\theta} \right)$$

$$\sigma_{rr} = \frac{E}{(1+\nu)} \left( a - \frac{2b}{r^3} + \frac{\nu}{1-2\nu} a \right) \quad , \quad \sigma_{\theta\theta} = \sigma_{\phi\phi}$$

$$= \frac{E}{1-2\nu} a - \frac{2Eb}{(1+\nu)r^3} \quad \left| \quad \begin{aligned} &= \frac{E}{(1+\nu)} \left( a + \frac{b}{r^3} + \frac{\nu}{1-2\nu} a \right) \\ &= \frac{E}{1-2\nu} a + \frac{Eb}{(1+\nu)r^3} \end{aligned} \right.$$

boundary conditions

$$\sigma_{rr}(R_2) = -p_2 \quad , \quad \sigma_{rr}(R_1) = -p_1$$

for simplicity, set  $p_2 = 0$

$$\frac{Ea}{(1-2\nu)} = \frac{2bE}{(1+\nu)R_1^3} - p_1$$

$$\frac{Ea}{(1-2\nu)} = \frac{2bE}{(1+\nu)R_2^3} - p_2$$

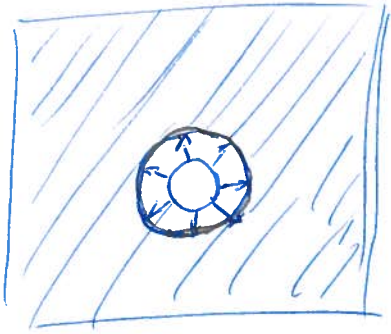
$$b = \frac{(1+\nu) R_1^3 R_2^3}{2E} \frac{(p_1 - p_2)}{R_2^3 - R_1^3}$$

$$a = \frac{(1-2\nu)}{E} \frac{p_1 R_1^3 - p_2 R_2^3}{R_2^3 - R_1^3}$$



3) Example: Growing tumor spheroid in a gel  
 wanted: elastic stress building up in the gel  
 due to the expanding tumor

given: original tumor radius  $R_0$ , new radius  $R_1$   
 Young's modulus  $E$  of the gel  
 Poisson ratio:  $\nu$



due to symmetry reasons

$$\underline{u}(\underline{r}) = u_r(r) \underline{e}_r$$

Analogous to the situation of a hollow sphere, we find

$$\operatorname{div} \underline{u} = \frac{1}{r^2} \partial_r (r^2 u_r) = \text{const.}$$

$$\hookrightarrow u_r(r) = ar + \frac{b}{r^2}$$

As we need  $u_r(\infty) = 0 \rightarrow a = 0$   
 $u_r = \frac{b}{r^2}$

$$\begin{aligned} \epsilon_{rr} &= \partial_r u_r \\ &= -\frac{2b}{r^3} \end{aligned}$$

$$\epsilon_{\theta\theta} = \epsilon_{\varphi\varphi} = \frac{u_r}{r} = \frac{b}{r^3}$$

boundary condition  $u_r(R_0) = R_1 - R_0 = \Delta R$

$$\rightarrow b = R_0^2 \Delta R$$

$$\operatorname{tr} \epsilon = -\frac{2b}{r^3} + 2 \times \frac{b}{r^3} = 0 \rightarrow \text{no volume changes}$$

$$\begin{aligned} \sigma_{rr} &= \frac{E}{(1+\nu)} \left( \epsilon_{rr} + \frac{\nu}{(1-2\nu)} \frac{\operatorname{tr} \epsilon}{0} \right) \\ &= \frac{-E}{(1+\nu)} \frac{2b}{r^3} = \frac{-2E}{(1+\nu)} \frac{R_0^2 \Delta R}{r^3} \end{aligned}$$



$$\sigma_{rr}(R_0) = -\frac{2E}{(1+\nu)} \frac{\Delta R}{R_0}$$

Pressure felt at the surface of the tumor spheroid

$$\frac{2E}{(1+\nu)} \frac{\Delta R}{R_0}$$

→ hinders further expansion of tumor

$$\sigma_{\theta\theta} = \sigma_{\varphi\varphi} = \frac{E}{(1+\nu)} \left( \epsilon_{\theta\theta}/\varphi\varphi + \frac{\nu}{(1-2\nu)} \underbrace{\text{tr } \epsilon}_0 \right)$$

$$= \frac{E}{(1+\nu)} \frac{b}{r^3} = \frac{E}{(1+\nu)} \frac{\Delta R R_0^2}{r^3}$$

$$\sigma_{\varphi\varphi}(R_0) = \sigma_{\theta\theta}(R_0) = \frac{E}{(1+\nu)} \frac{\Delta R}{R_0}$$

... like a surface tension for the spheroid! → enforces round shape

consider the case  $R_1 = 2R_0 \rightarrow \Delta R = R_0$

$$\sigma_{rr}(R_0) = \frac{2E}{(1+\nu)} \frac{R_0}{R_0} = \frac{2E}{(1+\nu)}$$

$$\epsilon_{rr}(R_0) = -\frac{2b}{R_0^3} = -\frac{2R_0^2 \Delta R}{R_0^3} = -\frac{2\Delta R}{R_0}$$

What is wrong here?  $= -2$

## II Pappkovich Neuber approach and Green's function

### II.1. Pappkovich Neuber ansatz

Governing equation of elasticity

$$\frac{E}{2(1+\nu)} \left( \frac{1}{(1-2\nu)} \underbrace{\nabla_i \sigma_{ij}}_{\text{volumic force such as gravity}} + \Delta \underline{u} \right) + \underline{F}_{\text{ext}} = 0$$

We discuss simpler case where  $\underline{F}_{\text{ext}} = 0$

$$\underbrace{\left( \frac{1}{(1-2\nu)} \nabla \operatorname{div} \underline{u} + \Delta \underline{u} \right)}_{=: (*)} = 0$$

$$\nabla \circ (*) = \frac{1}{(1-2\nu)} \operatorname{div} \Delta \underline{u} + \Delta \operatorname{div} \underline{u} = 0$$

$$\rightarrow \Delta \operatorname{div} \underline{u} = 0 \rightarrow \operatorname{div} \underline{u} \text{ is harmonic}$$

$$\Delta (*) = \frac{1}{1-2\nu} \underbrace{\nabla \Delta \operatorname{div} \underline{u}}_0 + \Delta^2 \underline{u} = 0$$

$$\Rightarrow \Delta^2 \underline{u} = 0 \rightarrow \underline{u} \text{ is biharmonic}$$

### Theorem

- Generally  $\underline{u}$  can be written in the form

$$\underline{u} = 4(1-\nu) \underline{B} - \nabla \cdot (\underline{r} \circ \underline{B} + B_0) + \nabla \chi_0$$

where  $\underline{B}$  is a harmonic vector  
 $B_0$  is a harmonic function  
 $\chi_0$  is a particular solution to

Pappkovich-Neuber ansatz

the equation  $\Delta \chi_0 = \frac{1-2\nu}{2G(1-\nu)} \phi$ ,

where  $\underline{F}_{\text{ext}} = -\underline{\nabla} \phi$

Proof for the case  $F_{\text{ext}} = 0$ :

$$\Delta(\underline{r} \cdot \text{div} \underline{u}) = 2 \underline{\nabla} \text{div} \underline{u}$$

$$\left( \sum_j \partial_j^2 (r_i \text{div} \underline{u}) = \sum_j \left[ (\partial_j^2 \text{div} \underline{u}) r_i + (\partial_j^2 r_i) \text{div} \underline{u} + 2 \partial_j r_i \partial_j \text{div} \underline{u} \right] \right)$$

$$= \Delta \text{div} \underline{u} r_i + \Delta r_i \text{div} \underline{u} + 2 \partial_j \text{div} \underline{u}$$

We replace  $\underline{\nabla} \text{div} \underline{u}$  in (\*) by  $\frac{\Delta(\underline{r} \text{div} \underline{u})}{2}$  and get

$$\Delta \left( \underbrace{\frac{\text{div} \underline{u}}{2(1-2\nu)} \underline{r} + \underline{u}}_{\text{harmonic vector}} \right) = 0$$

We make the ansatz

$$\underline{u} = 4(1-\nu) \underline{B} + \underline{\nabla} \chi,$$

$$\text{div} \underline{u} = 4(1-\nu) \text{div} \underline{B} + \Delta \chi$$

Force balance equation (\*) reads with this ansatz

$$0 = \frac{1}{(1-2\nu)} \underline{\nabla} \left( 4(1-\nu) \text{div} \underline{B} + \Delta \chi \right) + \Delta \left( \underbrace{4(1-\nu) \underline{B} + \underline{\nabla} \chi}_0 \right)$$

$$= \frac{1}{(1-2\nu)} \underline{\nabla} \left[ 4(1-\nu) \text{div} \underline{B} + (2-2\nu) \Delta \chi \right]$$

$$\rightarrow \Delta \chi = -2 \text{div} \underline{B}$$

$$\chi = \chi_{\text{part}} + \chi_{\text{hom}}$$

$\underline{x}_{\text{part}} = -\underline{r} \cdot \underline{B}$  particular solution

$\underline{x}_{\text{hom}} =: \underline{B}_0$  arbitrary harmonic function

Within the Pappkovich Neuber approach ( $F_{\text{ext}} = 0$ )  $\square$   
the stress tensor takes the form

$$\sigma_{ij} = 2G \left[ 2\nu \delta_{ij} \operatorname{div} \underline{B} + (1-2\nu)(\partial_i B_j + \partial_j B_i) - r_k \partial_i \partial_j B_k - \partial_i \partial_j B_0 \right]$$

Why is the Pappkovich Neuber ansatz useful?

There is a big mathematical toolbox for harmonic functions/vectors that we can exploit

## II-2. Green's function for unbounded elastic medium - Thomson's solution

problem: elastic unbounded medium and a distribution of forces  $\underline{f}(\underline{r})$  acting inside. We need to solve the force balance equation

$$\frac{E}{2(1+\nu)} \left( \frac{1}{1-2\nu} \nabla \operatorname{div} \underline{u} + \Delta \underline{u} \right) =: L \underline{u} = -\underline{f}(\underline{r})$$

solution strategy: find fundamental solution  $\underline{G}(\underline{r})$  (Green's function) such that

$$L(\underline{G}(\underline{r}) \cdot \underline{v}) = \underline{v} \delta(\underline{r})$$

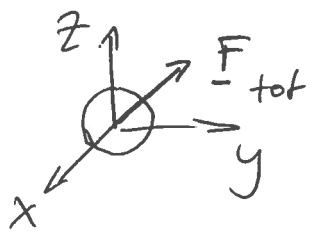
or

$$L \underline{G}(\underline{r}) = \delta(\underline{r}) \mathbb{1}$$

$$\text{then } \underline{u}(\underline{r}) = \int G(\underline{r} - \underline{r}') f(\underline{r}') d\mathbf{r}'$$

$$\begin{aligned} L\underline{u} &= \int L G(\underline{r} - \underline{r}') f(\underline{r}') d\mathbf{r}' \\ &= \int \delta(\underline{r} - \underline{r}') f(\underline{r}') d\mathbf{r}' = f(\underline{r}) \end{aligned}$$

To calculate  $\underline{G}(\underline{r})$  we consider the scenario of a point force at the origin  $f(\underline{r}) = \delta(\underline{r}) \underline{F}_{\text{tot}}$



We have for any sphere around the origin

$$-\underline{F}_{\text{tot}} = \int_{\text{Sphere}} -\delta(\underline{r}) \underline{F}_{\text{tot}} dV = \int_{\text{Sphere}} \text{div } \underline{\sigma} \cdot dV = \int_{\partial \text{Sphere}} \underline{\sigma} \cdot d\underline{A}$$

$$= \int_{\partial \text{Sphere}} \underline{\sigma} \cdot \underline{n} dA$$

$$= R^2 \int_{\partial(\text{unit sphere})} \underline{\sigma} \cdot \underline{n} dA$$

$$\rightarrow \sigma \propto \frac{1}{R^2} \rightarrow \underline{u} \propto \frac{1}{R}$$

Pappovich Neuber ansatz with  $\underline{B} = \frac{A}{r} \underline{F}_{\text{tot}}$

$$B_0 = 0$$

$$\underline{u} = 4(1-\nu) \underline{B} - \nabla(\underline{r} \cdot \underline{B} + \underline{B}_0)$$

$$= A \left[ \frac{(3-4\nu)}{r} \underline{F}_{\text{tot}} + \frac{\underline{F}_{\text{tot}} \cdot \underline{r}}{r^3} \underline{r} \right] (*)$$

using  $\epsilon_{ij} = \frac{(\partial_i u_j + \partial_j u_i)}{2}$

$$\sigma_{ij} = \frac{E}{(1+\nu)} \left( \epsilon_{ij} + \frac{\nu}{(1-2\nu)} \epsilon_{kk} \delta_{ij} \right)$$

$$\sigma_{ij} = \frac{2GA}{r^3} \left[ (1-2\nu) \left( \underline{F}_{tot} \cdot \underline{r} \right) \delta_{ij} - F_i^{tot} r_j - F_j^{tot} r_i - 3 \frac{F_{tot} \cdot r}{r^2} r_i r_j \right]$$

Calculating now

$$\int_{\text{Sphere}} \underline{\sigma} \cdot \underline{n} \, dA \stackrel{!}{=} -\underline{F}_{tot}$$

Sphere

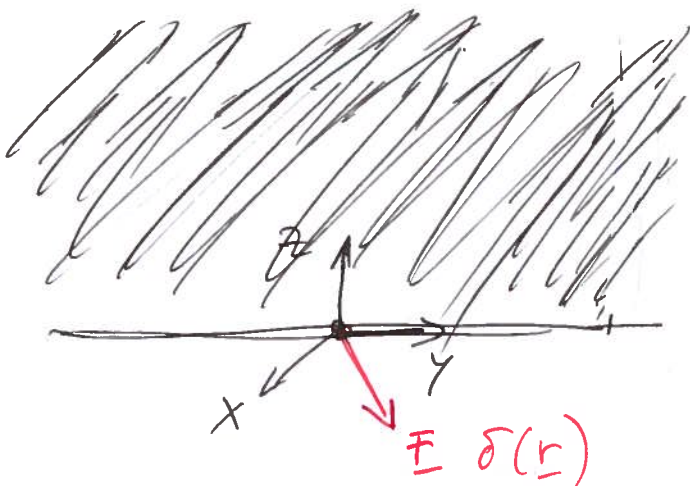
determines  $A = \frac{1}{16\pi G(1-\nu)}$

Comparing with (\*) gives

$$\underline{\hat{G}}(\underline{r}) = \frac{1}{16\pi G(1-\nu)r} \left[ (3-4\nu) \underline{1} + \frac{r r}{r^2} \right]$$

$\equiv$  Thomson's solution

II.3 Green's function for the elastic half space - Boussinesq-Cerruti solution



- solution for point force acting on surface of a sphere (at the origin)
- uses Pappovich-Neuber approach (Landau § 8)



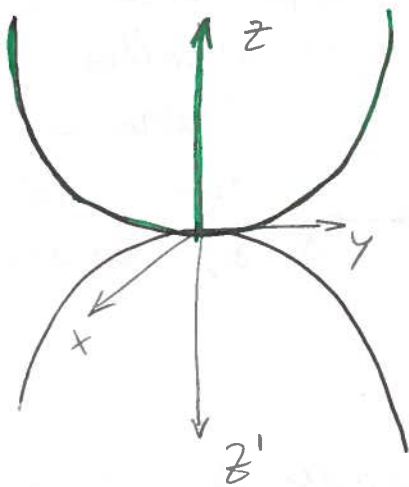
$$\underline{u} = \frac{(1+\nu)}{2\pi E r} \begin{pmatrix} -\left(\frac{1-2\nu}{r}\right) x F_z + 2(1-\nu) F_x + \frac{2\nu x}{r^2} (x F_x + y F_y) \\ -\left(\frac{1-2\nu}{r}\right) y F_z + 2(1-\nu) F_y + \frac{2\nu y}{r^2} (x F_x + y F_y) \\ 2(1-\nu) F_z + \frac{(1-2\nu)}{r} (x F_x + y F_y) \end{pmatrix}$$

## III. Contact mechanics

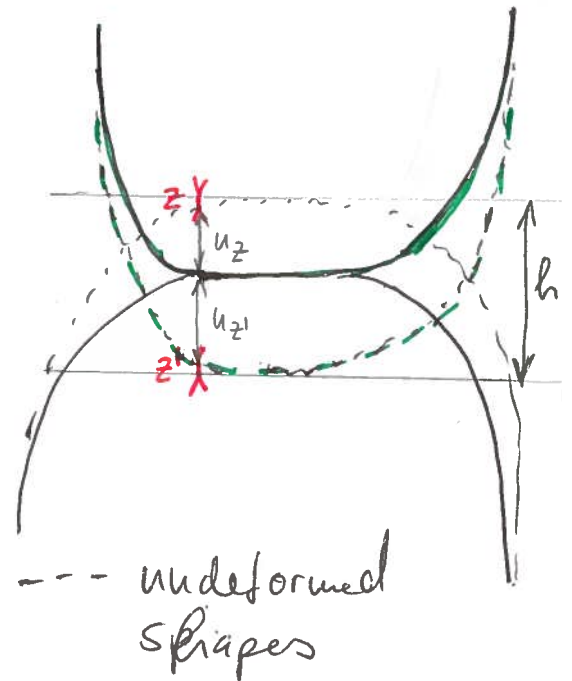
- imagine the following problem:

we have two bodies (e.g. two spheres) that make contact at one point initially and then are forced more together by a force  $\underline{F}$

$\Rightarrow$  the area of contact increases



force  
switched  
on



Questions:

How large is the approach and how large is the contact area in dependence of the acting force

We find that  $(z + u_z) + (z' + u_{z'}) = h$

$$z = \kappa_{\alpha\beta} x_\alpha x_\beta, \quad \alpha, \beta = 1, 2$$

$$z' = \kappa'_{\alpha\beta} x_\alpha x_\beta$$

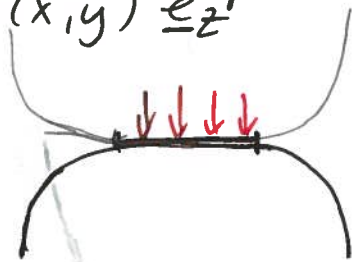
$$(\kappa_{\alpha\beta} + \kappa'_{\alpha\beta}) x_\alpha x_\beta + u_z + u_{z'} = h$$

Choose coord. system in  $x$ - $y$ -plane  
 such that  $(K_{x\beta} + K'_{\alpha\beta})$  is symmetric  

$$= \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$$

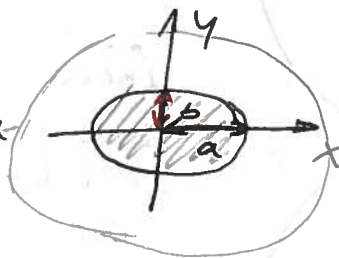
$$\rightarrow (Ax^2 + By^2) + u_z + u'_z = 0 \quad (*)$$

- force distribution along the contact area  
 $f(x,y) \underline{e}_z'$



\* assume that contact area and approach distance  $h$  are much smaller

top view contact area  
 $\rightarrow$  it is elliptical



then curvature radii of bodies

Due to small curvature of body surface, it is well approximated by an elastic half space. We have found a Green's function for point force acting on surface of an elastic half space (Boussinesq solution). Surface forces point only along the  $z$  ( $z'$ ) direction.

Boussinesq solution: 
$$u_z = \frac{Bouss.}{\pi E} \frac{1}{r} F_z$$

For a distribution  $f(x,y) \underline{e}_z$ , we have

$$u_z = \frac{(1-\nu^2)}{\pi E} \int \frac{f(x',y')}{\underbrace{\sqrt{(x-x')^2 + (y-y')^2 + z^2}}_{=: r}} dx' dy'$$

$$\leadsto \frac{u_z}{u'_z} = \text{const.} = \frac{(1-v^2)E'}{(1-v'^2)E}$$

Inserting this ansatz for  $u_z$  ( $u_{z1}$ ) into (\*) gives

$$\frac{1}{\pi} \left( \frac{1-v^2}{E} + \frac{1-v'^2}{E'} \right) \int \frac{f(x', y')}{r(x', y')} dx' dy' = h - Ax^2 - By^2 \quad (*)$$

$\equiv$  differential equation in  $f(x, y)$  that needs to be solved

$$\text{Ansatz: } f(x, y) = \text{const.} \sqrt{1 - \frac{x^2}{a^2} - \frac{y^2}{b^2}}$$

$$\text{Constraint: } \int_{\text{contact area}} f(x, y) dA = |\underline{F}_{\text{tot}}| \text{ gives } \text{const.} = \frac{3F_{\text{tot}}}{2\pi ab}$$

one can show that

$$\int \frac{\sqrt{1 - \frac{x^2}{a^2} - \frac{y^2}{b^2}}}{r(x', y')} dx' dy' = \frac{1}{2} \pi ab \int_0^{\infty} \left( 1 - \frac{x^2}{a^2+\xi} - \frac{y^2}{b^2+\xi} \right) \frac{d\xi}{\sqrt{(a^2+\xi)(b^2+\xi)}}$$

Substituting into (\*) gives

$$\frac{DF_{\text{tot}}}{\pi} \int_0^{\infty} \left( 1 - \frac{x^2}{(a^2+\xi)} - \frac{y^2}{(b^2+\xi)} \right) \frac{d\xi}{\sqrt{(a^2+\xi)(b^2+\xi)}}$$

$$D = \frac{3}{4} \left( \frac{1-v^2}{E} + \frac{1-v'^2}{E'} \right)$$

$$= h - Ax^2 - By^2 \text{ for all } x \text{ and } y$$

Therefore, we find

$$h = \frac{F_{\text{tot}} D}{\pi} \int_0^{\infty} \frac{d\xi}{\sqrt{(a^2 + \xi)(b^2 + \xi)}}$$

$$A = \frac{F_{\text{tot}} D}{\pi} \int_0^{\infty} \frac{d\xi}{(a^2 + \xi) \sqrt{\dots}}$$

$$B = \frac{F_{\text{tot}} D}{\pi} \int_0^{\infty} \frac{d\xi}{(b^2 + \xi) \sqrt{\dots}}$$

→ sets  $a$  and  $b$  for given body geometries ( $A, B$ ) and given  $F_{\text{tot}}$

Discuss now the case of contacting spheres with radii  $R_1$  and  $R_2$ ,

then  $A = B = \frac{1}{2R_1} + \frac{1}{2R_2}$ ,  $a = b$   
 $\equiv$  circular contact area

set  $R := \frac{1}{1/R_1 + 1/R_2}$

$a = F_{\text{tot}}^{1/3} (D \cdot R)^{1/3}$  contact radius

$h = F_{\text{tot}}^{2/3} \left[ \frac{D^2}{R} \right]^{1/3} \Rightarrow F_{\text{tot}} \propto h^{3/2}$

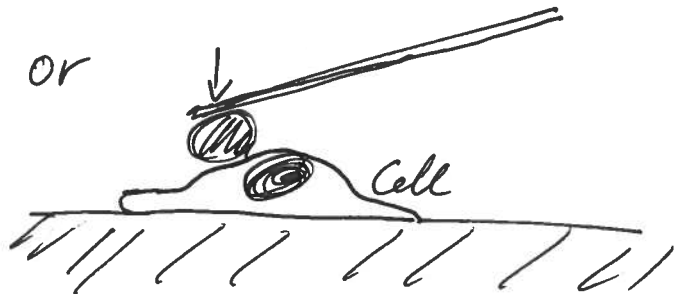
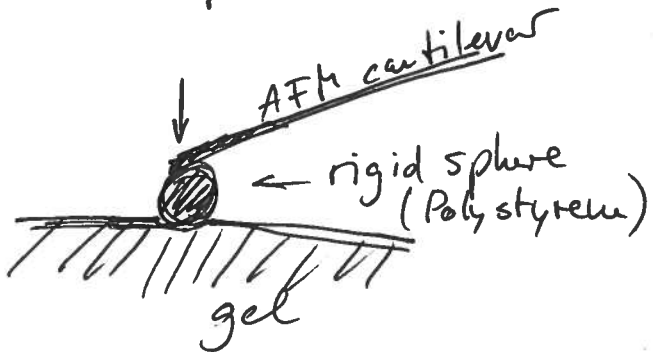
... distance of approach

Consider  $R_2 \gg R_1$ ,  $E_2 \ll E_1$   
 (small rigid sphere<sup>(1)</sup> indenting a large soft sphere<sup>(2)</sup>)

$R \approx R_1$ ,  $D \approx \frac{3}{4} \left( \frac{1 - \nu_2^2}{E_2} \right)$

$$h = F_{tot}^{2/3} \left[ \frac{9}{16} \frac{(1-\nu_2^2)^2}{E_2^2 R_1} \right]^{1/3} (*)$$

→ used for stiffness measurements in terms of AFM on soft substrates



● AFM output: force  $F$ , indentation depth  $h$

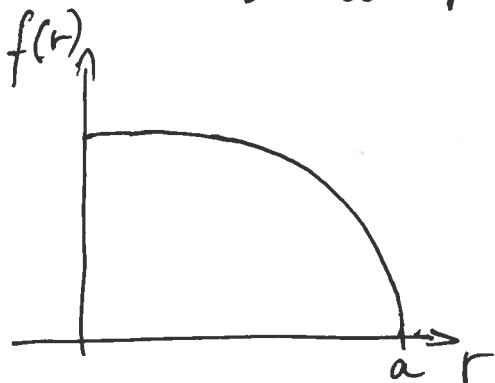
→ get  $F(h)$

→ fit the above dependence (\*)

to get  $\frac{E_2}{(1-\nu_2^2)}$

● for contacting spheres, the pressure distribution over the contact area is

$$f(r) = \frac{3F}{2\pi a^2} \sqrt{1 - \frac{r^2}{a^2}}$$



peak pressure =  $1.5 \cdot \bar{p}$



# Viscoelasticity - beyond solid and liquid

- Consider a shear stress

liquid:  $\sigma_{\text{shear}} = \gamma(\dot{\epsilon}) \dot{\epsilon}$

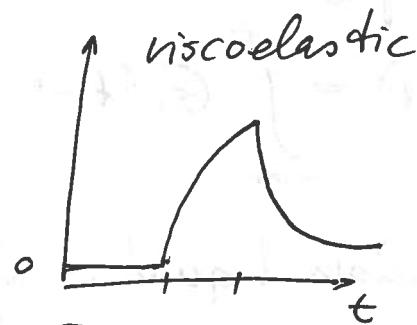
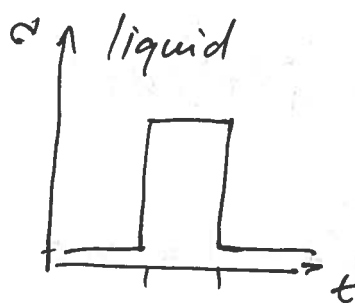
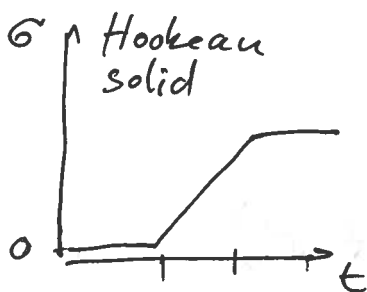
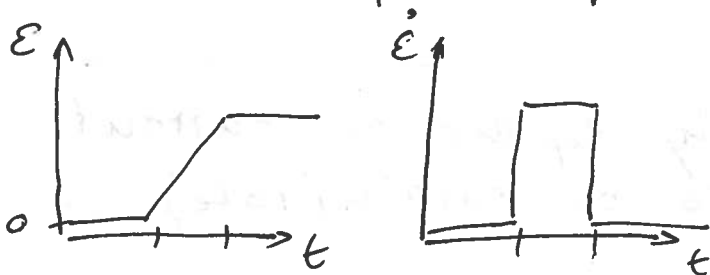
Newtonian liquid  $\sigma_{\text{shear}} = \gamma \cdot \dot{\epsilon}$

solid:  $\sigma_{\text{shear}} = F(\epsilon)$

Hookean solid  $\sigma_{\text{shear}} = E \epsilon$

viscoelastic material  $\sigma = F(\epsilon, \dot{\epsilon})$

- Behaviours upon step strain (shear)



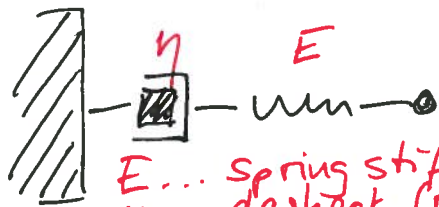
Examples:  
polymer melt,  
biological material

Maxwell suggested in 1867 the following dynamics for shear stress and strain in viscoelastic material

$$\frac{d\epsilon(t)}{dt} = \underbrace{\frac{1}{E} \frac{d\sigma}{dt}}_{\dot{\epsilon} = \sigma/E \text{ elastic}} + \underbrace{\frac{\sigma}{\eta}}_{\dot{\epsilon} = \sigma/\eta \text{ liquid}} \Rightarrow \dot{\sigma} = E \dot{\epsilon} - \frac{\sigma}{\tau}$$

$\tau = \frac{\eta}{E}$

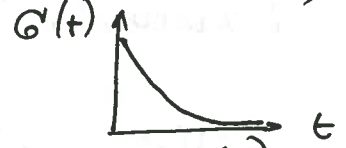
# Mechanical analogue (Maxwell element)



$E$  ... Spring stiffness  
 $\eta$  ... dashpot friction

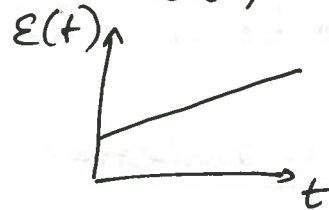
- spring in series with a dashpot

- behaviour upon step strain  $\epsilon(t) = \epsilon_0 \cdot \Theta(t)$ ,  
 then  $\sigma(t) = E \cdot \epsilon_0 \cdot \exp(-t/\tau)$



- behavior upon step stress  $\sigma(t) = \sigma_0 \cdot \Theta(t)$

$$\epsilon(t) = \frac{\sigma_0}{\eta} \cdot t + \frac{\sigma_0}{E}$$



On short time scales elastic, on long time scales fluid-like

## More general description of viscoelastic behaviour

- stress does not only depend on current strain (rate) but also on strain (rate) in the past

$$\sigma(t) = \int_{-\infty}^t G(t-t') \dot{\epsilon}(t') dt'$$

Newtonian liquid:  $G(t-t') = \eta \delta(t-t')$

$$\begin{aligned} \rightarrow \sigma(t) &= \int_{-\infty}^t \eta \delta(t-t') \dot{\epsilon}(t') dt' \\ &= \eta \dot{\epsilon}(t) \end{aligned}$$

Maxwell material:  $G(t-t') = E e^{-t'/\tau}$

$$\rightarrow \sigma(t) = \int_{-\infty}^t E e^{-\frac{t-t'}{\tau}} \dot{\epsilon}(t') dt'$$

$\dot{\epsilon}(t) = \delta(t) \cdot \epsilon_0$   $E e^{-t/\tau}$  as calculated above

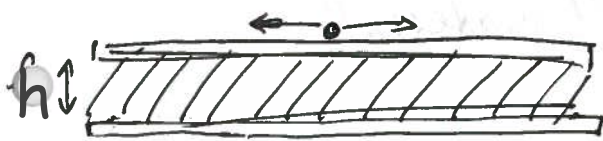
-  $G(t)$  is called relaxation modulus

$$G(t) = \frac{\sigma(t)}{\epsilon_0}, \text{ after step strain}$$

- Analogously, the notion of creep compliance  $J(t)$  can be introduced as

$$J(t) = \frac{\epsilon(t)}{\sigma_0}, \text{ after step stress}$$

- Consider an oscillatory shear (flow) in a material in between two plates



upper plate moved with speed

$$v(t) = \delta \cdot \omega \cdot \cos \omega t$$

$$\dot{\epsilon}(t) = \frac{\delta}{h} \cdot \omega \cos \omega t = \dot{\epsilon}_0 \cdot \cos \omega t$$

$$\epsilon(t) = \frac{\delta}{h} \sin \omega t = \epsilon_0 \cdot \sin \omega t$$

$$\sigma(t) = \int_{-\infty}^t G(t-t') \dot{\epsilon}(t') dt'$$

$$= \int_{-\infty}^t G(t-t') \dot{\epsilon}_0 \cdot \cos \omega t' dt'$$

$$= \int_{-\infty}^{\infty} G(s) \dot{\epsilon}_0 \cdot \cos(\omega(t-s)) ds$$

$$= \int_{-\infty}^{\infty} G(s) \dot{\epsilon}_0 \cdot [\cos \omega t \cos \omega s + \sin \omega t \sin \omega s] ds$$

$$= G'(\omega) \epsilon_0 \sin \omega t + G''(\omega) \epsilon_0 \cos \omega t$$

where  $G'(\omega) := \int_{-\infty}^{\infty} \omega G(s) \sin \omega s ds =: \eta''(\omega) \omega$

$$G''(\omega) := \int_{-\infty}^{\infty} \omega G(s) \cos \omega s ds =: \eta'(\omega) \cdot \omega$$

$G^*(\omega) = G'(\omega) + i G''(\omega)$  ... complex elastic modulus

$\eta^*(\omega) = \eta'(\omega) + i \eta''(\omega)$  ... complex viscosity

We have that if  $\epsilon(t) = \epsilon_0 e^{i\omega t}$ , then

$$\sigma(t) = G^*(\omega) \cdot \epsilon_0 e^{i\omega t}$$

(linear relationship only valid for small strains, linear depend. up to 20% strain for polymer melt, up to only 0.1% for bread dough)

- Calculate  $G^*(\omega)$  for Maxwell material

$G(t) = E e^{-t/\tau}$ , then

$$G'(\omega) = \int_0^{\infty} \omega E e^{-t/\tau} \sin \omega t \, dt$$

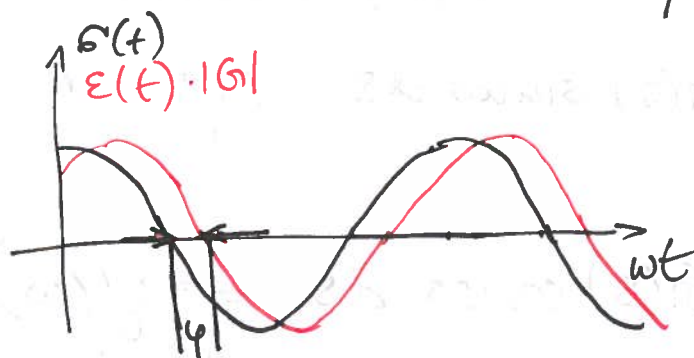
$$= \frac{\omega^2 E}{\omega^2 + 1/\tau^2}$$

$$G''(\omega) = \int_0^{\infty} \omega G(t) \cos \omega t \, dt = \frac{\omega/\tau E}{1/\tau^2 + \omega^2}$$

$$G^*(\omega) = \frac{i\omega E}{(i\omega + 1/\tau)} (= G'(\omega) + i G''(\omega))$$

Comment: as the elastic modulus is a complex number, stress and strain for oscillatory perturbations are phase shifted

$G^*(\omega) = |G| e^{i\varphi(\omega)}$  → phase shift is  $\varphi(\omega)$



$\varphi = 0$  for solids

$$\Leftrightarrow \sigma \propto \epsilon$$

$\varphi = 90^\circ$  for liquids

$$\Leftrightarrow \sigma \propto \dot{\epsilon}$$

for  $0 < \varphi(\omega) < 90^\circ$ , the material is viscoelastic at the given frequency

- for the Maxwell element we have

$$\tan(\varphi(\omega)) = \frac{1}{\tau \cdot \omega}$$

$$\rightarrow \lim_{\omega \rightarrow \infty} \varphi(\omega) = 0, \quad \lim_{\omega \rightarrow 0} \varphi(\omega) = 90^\circ$$

$\leadsto$  on short time scales, the Maxwell material behaves like an elastic solid ( $\frac{1}{\omega} \ll \tau$ )

$\leadsto$  on long time scales ( $\frac{1}{\omega} \gg \tau$ ), the Maxwell material behaves like a liquid

-  $G'(\omega)$  ... storage modulus

$G''(\omega)$  -- loss modulus ( $G''(\omega) = \omega \underbrace{\eta'(\omega)}_{\text{storage viscosity}}$ )

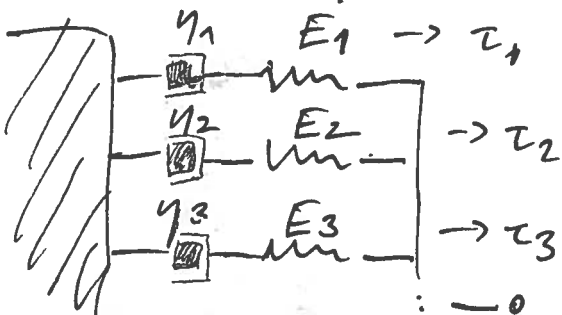
ratio  $\frac{G''(\omega)}{G'(\omega)}$  tells about solid or liquid-like nature

nature  $\frac{G''(\omega)}{G'(\omega)} \gg 1$  liquid-like

$\frac{G''(\omega)}{G'(\omega)} \ll 1$  solid-like

- Generalized Maxwell model

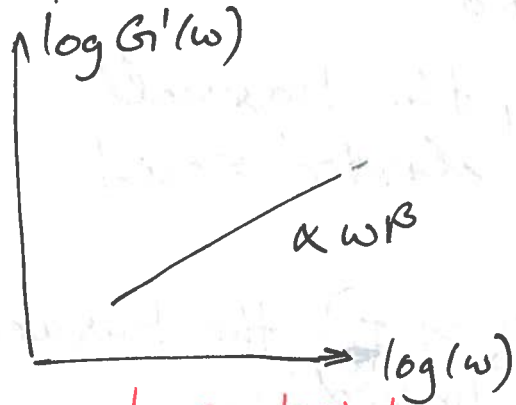
if several time scales are needed to describe a material, one can use several Maxwell elements in parallel



continuous spectrum gives  $G(t) = \int_0^\infty H(\tau) e^{-t/\tau} \frac{d\tau}{\tau}$   
 $H(\tau)$  ... relaxation spectrum

- frequently complex materials show a power-law dependence of  $G^*(\omega)$ . This has also been reported for cells and tissues.

If  $G'(\omega) \propto \omega^\beta$ ,  $0 < \beta < 1$



no characteristic time scale(s)  $\tau$  here!

then

$$G(t) = \frac{2}{\pi} \int_0^\infty \frac{G'(\omega)}{\omega} \sin \omega t \, d\omega$$

$$\propto t^{-\beta}$$

→ power law decay of relaxation modulus  
 $\equiv$  power law decay of stress upon step strain

- Kelvin-Voigt element

$$G(t) = \gamma \delta(t) + E$$

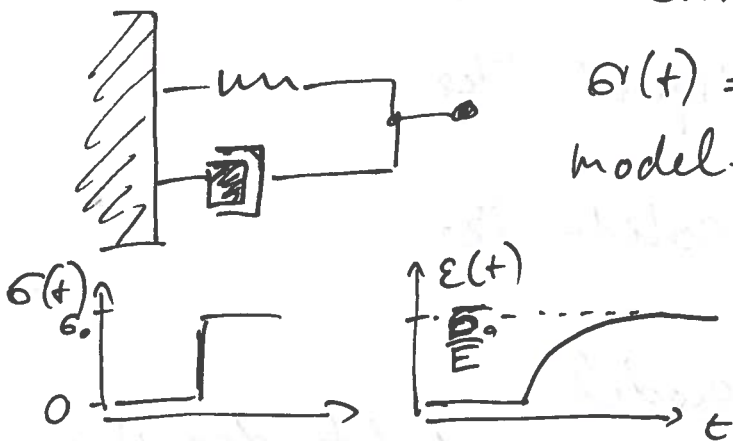
$$\sigma(t) = E \epsilon(t) + \gamma \dot{\epsilon}(t)$$

models creep in materials

upon step stress

$$\sigma(t) = \sigma_0 \theta(t)$$

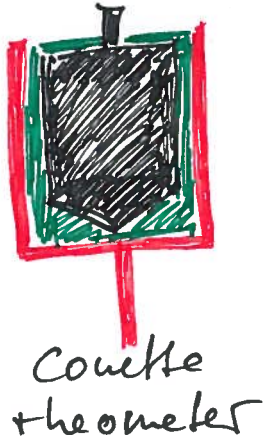
$$\epsilon(t) = \frac{\sigma_0}{E} (1 - e^{-t/\tau})$$



on long time scales elastic, on short time scales there is viscous creep



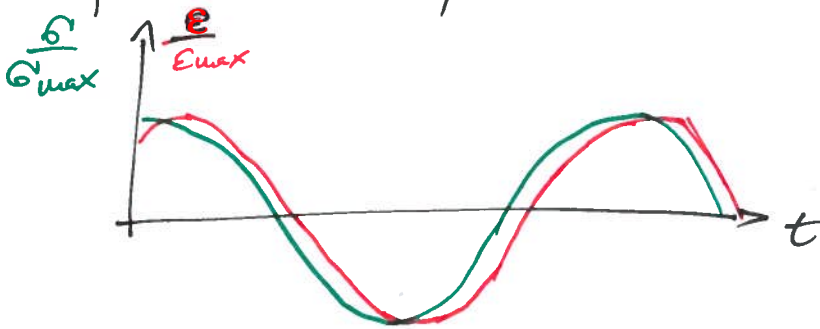
- Rheometer measure stress and strain relationships



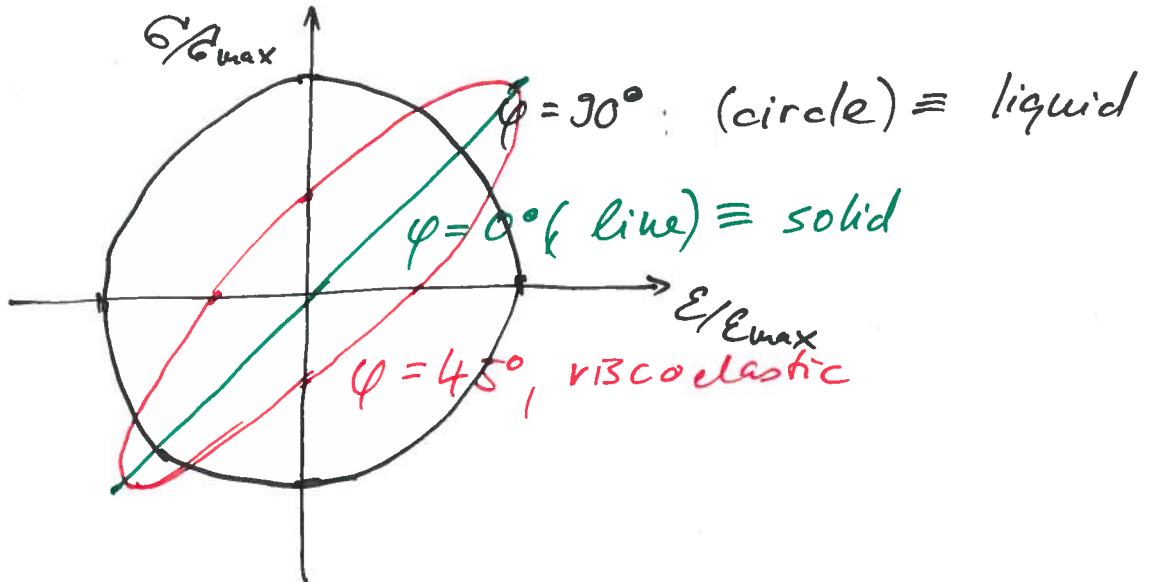
— steady part  
 — rotating part  
 — material of interest

rotation speed & shear rate  
 torque on unmoved part & shear stress

- for oscillatory stresses / strains, we have

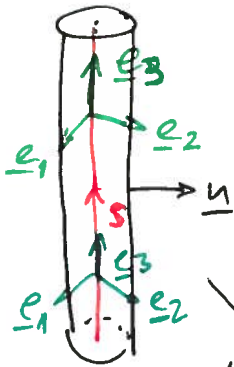


giving a stress-strain hysteresis



# Rod theory

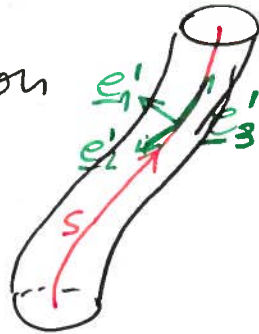
- Consider long and thin rods at small strains
- rods are parametrized by arc length  $s$  along its centerline  $\underline{r}(s)$



- a material frame keeps track of the deformation

- transverse stresses are considered zero  $\underline{\underline{G}} \cdot \underline{u} = 0$  at the boundary

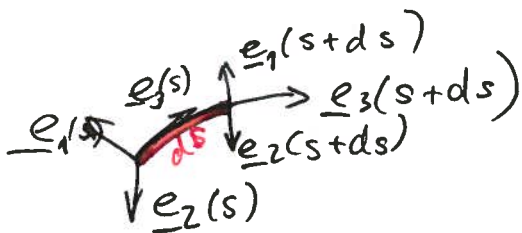
deformation



$$\underline{e}_3 = \partial_s \underline{r}$$

change of material frame along the rod is given by vector  $\underline{\underline{\Omega}}(s)$  such that

$$d\underline{e}_i(s) = \underline{\underline{\Omega}}(s) \times \underline{e}_i(s) \quad (\text{infinitesimal rotation by } \underline{\underline{\Omega}}(s))$$



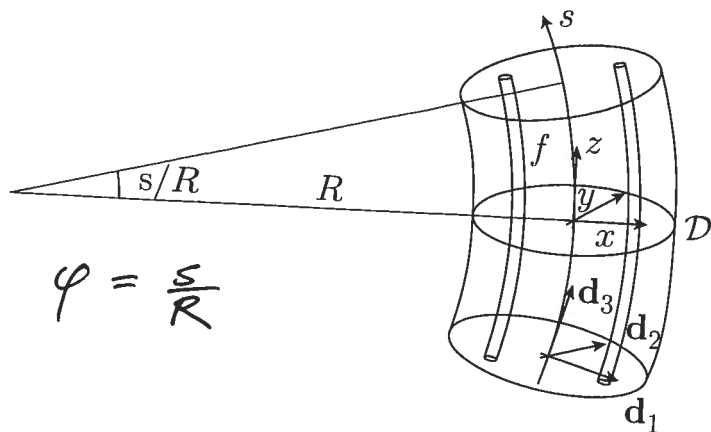
$$\underline{\underline{\Omega}}(s) = \begin{pmatrix} k_1 \\ k_2 \\ \tau \end{pmatrix}$$

$\tau$  ... rotation of the cross-section around  $\underline{e}_3 \equiv$  twist of the rod around central axis

$k_1, k_2$  ... rotation of rod tangent around  $\underline{e}_1$  (for  $k_1$ ) or  $\underline{e}_2$  (for  $k_2$ )  $\equiv$  bending of rod

# Consideration of (small) uniform bending

- set cross-section under consideration into origin
- consider bending in  $x-z$  plane



$$\varphi = \frac{s}{R}$$

At  $z=0$ , we have

$$\epsilon_{xx} = \frac{\partial u_x(x,y)}{\partial x}$$

$$\epsilon_{yy} = \frac{\partial u_y(x,y)}{\partial y}$$

$$\epsilon_{xz}, \epsilon_{yz} = 0$$

$$dl_z = \varphi \cdot R$$

$$dl'_z = \varphi \cdot (R+x) \cdot (1+y)$$

$$\epsilon_{zz}(x) = \frac{dl'_z - dl_z}{dl_z}$$

$$= \left[ \varphi \cdot (R+x)(1+y) - \varphi R \right] / \varphi R$$

$$= \frac{\varphi \cdot R}{\varphi \cdot R} + \frac{\varphi \cdot x}{\varphi \cdot R} + \frac{x}{R} \cdot y$$

$$\approx y + \frac{x}{R} + \text{second order terms}$$

stress

$$\sigma_{zz} = E \epsilon_{zz} = E \left( y + \frac{x}{R} \right), \quad \sigma_{ix}, \sigma_{iy} \text{ vanish}$$

(negligible forces on boundary)

$$\epsilon_{xx} = \epsilon_{yy} = -\nu \left( y + \frac{x}{R} \right)$$

bending energy:  $E_{\text{bend}} = \int \frac{\sigma_{ij}}{z} \epsilon_{ij} dx dy ds$

$$= \int \frac{\sigma_{zz}}{z} \epsilon_{zz} dx dy ds$$

$$= \frac{E \cdot L}{z} \int \left( y + \frac{x}{R} \right)^2 dx dy$$

minimizing the bending energy, with respect to  $y$  gives

$$0 = \frac{\partial E}{\partial y} = \frac{EL}{2} \left( \frac{x}{R} + y \right) dx dy$$

$$\Rightarrow \frac{\langle x \rangle}{R} + y = 0$$

As  $\langle x \rangle = 0$  by definition of the center line,

$$y = 0$$

→ the center line is not stretched in the rod and is a neutral line

For arbitrary (but small) bending

$$\frac{E_{\text{bend}}}{L} = \frac{E}{2} \iint (k_2 x + k_1 y)^2 dx dy$$

$k_2 = -\frac{1}{R_1}$ ,  $k_1 = +\frac{1}{R_2}$ ,  $R_1, R_2$  ... bending radii in  $x-z$ , or  $y-z$  plane, respectively

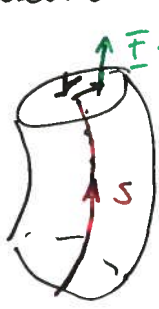
$$= \frac{E}{2} \iint (k_2 x^2 + k_1 y^2 - \underbrace{2k_2 k_1 xy}_{\text{integrates to zero, for appropriate choice of } \underline{e}_1, \underline{e}_2}) dx dy$$

$$= \frac{E}{2} \left[ \underbrace{k_1^2 \int x^2 dx dy}_{=: I^{(1)}} + \underbrace{k_2^2 \int y^2 dx dy}_{I^{(2)}} \right]$$

$I^{(1)}, I^{(2)}$  principal moments of inertia

for circular cross-section  $I^{(1)} = I^{(2)} = \frac{\pi r^4}{4}$

- bending moment acting on a cross-section



$$\vec{F} = \sigma_{iz}(x,y) dx dy \underline{e}_i$$

$$\underline{r} = x \underline{e}_1(s) + y \underline{e}_2(s)$$

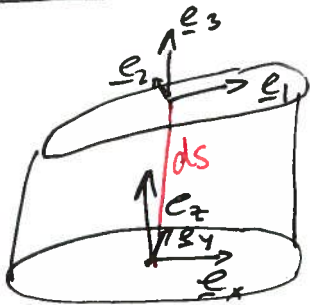
$$dM = \underline{r} \times \underline{F}$$

$$= (x \underline{e}_1 + y \underline{e}_2) \times \sigma_{zz} \underline{e}_3 dx dy$$

$$\sigma_{zz} = -E \kappa_2 x + E \kappa_1 y$$

$$M = \int_{\text{cross section}} dM = E \kappa_1 I^{(1)} \underline{e}_1 + E \kappa_2 I^{(2)} \underline{e}_2$$

Consideration of uniform small twist of a rod



- center line remains undeformed  
- cross sections get rotated along s

- we will see that cross-sections do not remain plane but get warped

- rotate coordinate system, such that

$$\underline{e}_1(s) = \underline{e}_x, \underline{e}_2(s) = \underline{e}_y$$

in cross section above at  $s+ds$  a point

$$\underline{r}(x,y) \text{ get rotated to } \underline{r}'(x,y) = \underline{r}(x,y) + \underbrace{d\varphi}_{\tau ds} \times \underline{r}$$

$$\text{where } d\varphi = \tau \cdot ds \cdot \underline{e}_z$$

$$\Rightarrow u_x = -\tau z y, u_y = \tau z x$$

- get the strain; by symmetry considerations one can infer that

$\epsilon_{xx}, \epsilon_{yy}, \epsilon_{yx}, \epsilon_{zz}$  are even functions of  $\tau$

$$\Rightarrow O(\tau^2)$$

only  $\epsilon_{xz}, \epsilon_{yz} = O(\tau)$

$$(*) \quad \epsilon_{xz} = \frac{1}{2} (u_{z,x} + u_{x,z}) = \frac{1}{2} (u_{z,x} - \tau y)$$

$$\epsilon_{yz} = \frac{1}{2} (u_{z,y} + \tau x)$$

$$\rightarrow \sigma_{xz} = 2\mu \epsilon_{xz}$$

$$\sigma_{yz} = 2\mu \epsilon_{yz}$$

force equilibrium dictates

$$\sigma_{xz,x} + \sigma_{yz,y} = 0 \Rightarrow \epsilon_{xz,x} + \epsilon_{yz,y} = 0$$

$$\rightarrow \text{rot} \begin{pmatrix} -\epsilon_{yz} \\ \epsilon_{xz} \\ 0 \end{pmatrix} = 0 \leadsto \begin{pmatrix} -\epsilon_{yz} \\ \epsilon_{xz} \\ 0 \end{pmatrix} = \underline{\nabla} \chi(x,y)$$

From (\*)

$$\Delta \chi = -\epsilon_{yz,x} + \epsilon_{xz,y}$$

$$= -\frac{1}{2} (u_{z,yx} + \tau) + \frac{1}{2} (u_{z,xy} - \tau)$$

$$= -\tau$$

define  $\bar{\chi} = \frac{\chi}{\tau}$ , then  $\Delta \bar{\chi} = -1$

boundary conditions

$$\sigma_{xz} n_x + \sigma_{yz} n_y = 0$$

$\underline{n}$  ... normal vector

$$\Rightarrow \epsilon_{xz} n_x + \epsilon_{yz} n_y = 0$$

$\underline{t}$  ... tangent on rod surface

$\leadsto$  this implies

$$\bar{\chi}_x t_x + \bar{\chi}_y t_y = 0 = \underline{\nabla} \bar{\chi} \cdot \underline{t}$$

$\rightarrow \bar{\chi}$  is constant along the boundary of the cross-section

we set  $\bar{\chi}|_{\text{boundary}} = 0$

● circular cross-section gives  $\bar{\chi} = \frac{h^2 - (x^2 + y^2)}{4}$



## Energy of twist

$$\begin{aligned}\frac{E_{\text{twist}}}{L} &= \frac{1}{2} \iint G_{ij} \varepsilon_{ij} \, dx dy \\ &= \frac{1}{2} \iint (2G_{xz} \varepsilon_{xz} + 2G_{yz} \varepsilon_{yz}) \, dx dy \\ &= \frac{1}{2} \iint 4\mu (\varepsilon_{xz}^2 + \varepsilon_{yz}^2) \, dx dy \\ &= 2\mu \tau^2 \iint (\bar{\chi}_x^2 + \bar{\chi}_y^2) \, dx dy\end{aligned}$$

$J$  ... moment of twist

$$= 2\mu \tau^2 J$$

- just like  $I^{(1)}$  &  $I^{(2)}$ ,  $J$  is a geometrical invariant of the rod cross-section

• for circular cross-section  $J = \frac{\pi}{2} r^4$

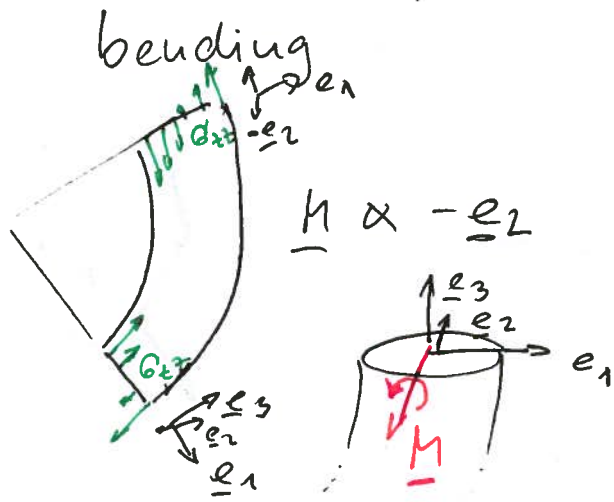
## Moment of twist

- twisting generates moments on a cross section

$\underline{M} \propto \underline{e}_3$ , therefore

$$\underline{M} = \frac{dE_{\text{twist}}}{d\tau} \underline{e}_3 = \mu J \cdot \tau \underline{e}_3$$

# short summary



no net force on cross section  
as

$$\int_{\text{cross section}} \sigma_{zz} = 0$$

## Superposition of bending and twist

- strain, stress and moments of bending and twist can be superimposed, also elastic energy contributions  $\Rightarrow$  get a description for rods that are (nearly) bent and twisted
- up to now we had uniform twist and bending  $\Rightarrow$  results can be extended to slowly varying deformations (variation on a scale  $\lambda \gg l, l$  - rod thickness)
- elastic energy of the rod is then taken as

$$E_{\text{rod}} = \int ds \left( \frac{EI^{(1)}}{2} \kappa_1(s)^2 + \frac{EI^{(2)}}{2} \kappa_2(s)^2 + \frac{\mu J}{2} \tau(s)^2 \right)$$

# Equilibrium condition for deformed rods

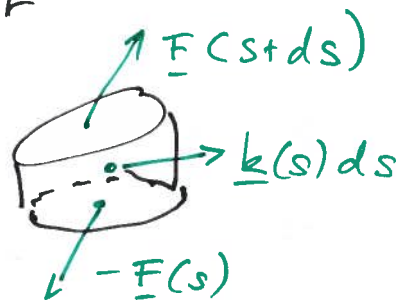
force acting on a cross section

$$\underline{F} = \int_{\text{cross section}} \sigma_{i3} \underline{e}_i dx dy$$

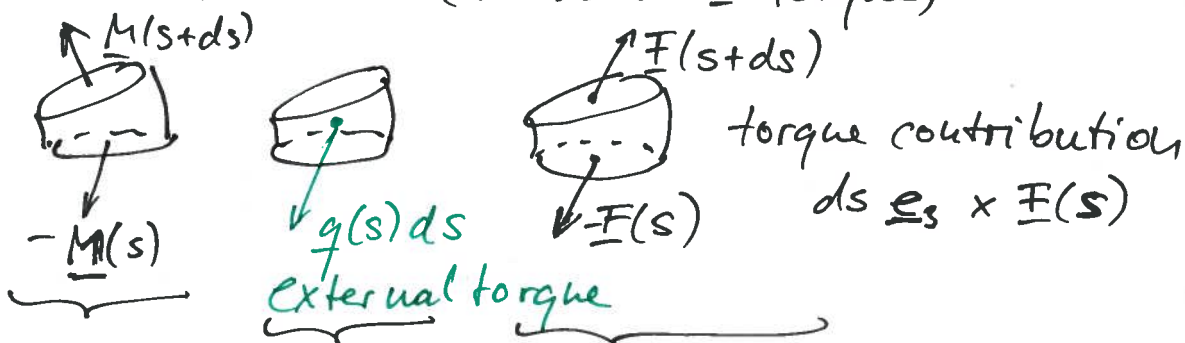
- total force on a length segment needs to vanish

$$(1) \quad \frac{\partial \underline{F}}{\partial s} + \underline{k}(s) = 0$$

where  $\underline{k}(s)$  is external force per unit length



- total moment on a length segment needs to vanish (moment  $\equiv$  torque)



$$(2) \quad \frac{\partial \underline{M}(s)}{\partial s} + \underline{q}(s) + \underline{e}_3 \times \underline{F}(s) = 0$$

- mostly  $\underline{q}(s)$  is zero

- if  $\underline{k}(s)$  is only non-vanishing in singular points, then (1) simplifies to

$$\underline{F} = \text{constant}$$

and (2) becomes

$$\underline{M}(s) + \underline{r} \times \underline{F}(s) = \text{const.}$$

because  $\underline{e}_3 = \frac{\partial \underline{r}}{\partial s}$

$\underline{r}(s)$ . center line of the rod

- discuss the example of a circular rod, subject to bending in a plane

We had  $\underline{M} = E k_1 I^{(1)} \underline{e}_1 + E k_2 I^{(2)} \underline{e}_2$

now  $\partial_s \underline{e}_3 = \underline{\Omega} \times \underline{e}_3$  ,  $\underline{\Omega} = (k_1, k_2, \tau)$   
 $= k_2 \underline{e}_1 + k_1 \underline{e}_2$

and  $\underline{e}_3 \times \partial_s \underline{e}_3 = k_2 \underline{e}_2 + k_1 \underline{e}_1$

We also had  $I^{(1)} = I^{(2)}$

$\Rightarrow \underline{M} = E I^{(1)} (k_1 \underline{e}_1 + k_2 \underline{e}_2)$   
 $= E I^{(1)} (\underline{e}_3 \times \partial_s \underline{e}_3)$

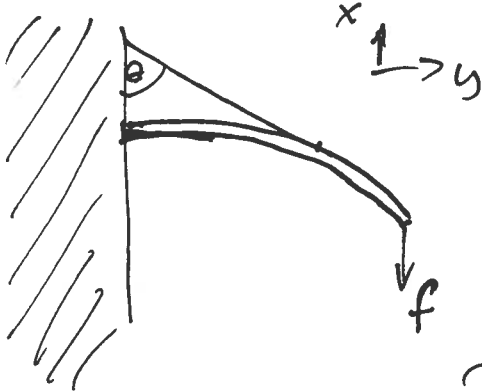
$\underline{e}_3 = \partial_s \underline{r}$   $E I^{(1)} (\partial_s \underline{r} \times \partial_s^2 \underline{r})$

Equilibrium condition (2) gives

$\partial_s \underline{M} + \underline{e}_3 \times \underline{F} = 0$

$E I^{(1)} (\partial_s \underline{r} \times \partial_s^3 \underline{r}) + \partial_s \underline{r} \times \underline{F} = 0$  (\*)

Consider -- cylindrical rod, clamped to a wall with centerline



$\underline{r} = \begin{pmatrix} x(s) \\ y(s) \\ 0 \end{pmatrix}$  ,  $\underline{F} = \begin{pmatrix} 0 \\ f \\ 0 \end{pmatrix}$  at the end point

Introduce angle  $\theta(s)$  such that  $\partial_s \underline{r} = \begin{pmatrix} \sin \theta \\ \cos \theta \\ 0 \end{pmatrix}$  -- tangent vector

$\partial_s^2 \underline{r} = \partial_s \theta \begin{pmatrix} \cos \theta \\ -\sin \theta \\ 0 \end{pmatrix}$  ,  $\partial_s^3 \underline{r} = \partial_s^2 \theta \begin{pmatrix} -\sin \theta \\ -\cos \theta \\ 0 \end{pmatrix} - \partial_s \theta \partial_s \underline{r}$

$\Rightarrow \partial_s \underline{r} \times \partial_s^3 \underline{r} = \partial_s^2 \theta \begin{pmatrix} 0 \\ 0 \\ -1 \end{pmatrix}$

$\partial_s \underline{r} \times \underline{F} = \begin{pmatrix} 0 \\ 0 \\ \sin \theta f \end{pmatrix}$

(\*) gives then

$$-IE \frac{\partial^2 \theta}{\partial s^2} + f \sin \theta = 0$$

Integration gives

$$\frac{IE}{2} \left( \frac{\partial \theta}{\partial s} \right)^2 + f \cos \theta = c_1$$

at end of the rod, we have to have  $M(L) = 0$

$$\rightarrow \partial_s \theta(L) = 0$$

$$\frac{IE}{2} \cdot 0 + f \cos(\theta(L)) = c_1$$

• further integration gives

$$s(\theta) = \sqrt{\frac{IE}{2f}} \int_{\theta(L)}^{\frac{\pi}{2}} \frac{d\theta}{\sqrt{\cos \theta(L) - \cos \theta}}$$

as  $s(\theta(L)) = L$ ,

$$L = \sqrt{\frac{IE}{2f}} \int_{\theta(L)}^{\pi/2} \frac{d\theta}{\sqrt{\cos \theta(L) - \cos \theta}}$$

• We have now  $s(\theta)$ , calculation gives  $\theta(s)$ ,

$$\text{As } \frac{dx}{ds} = \sin \theta$$

$$x = \int_0^L \sin \theta ds, \quad y = \int_0^L \cos \theta ds$$

$$\frac{dy}{ds} = \cos \theta$$

calculation gives

$$x(\theta) = \sqrt{\frac{2IE}{f}} \left( \sqrt{\cos \theta_L} - \sqrt{\cos \theta_L - \cos \theta} \right)$$

$$y(\theta) = \sqrt{\frac{2IE}{f}} \int_0^{\frac{\pi}{2}} \frac{\cos \theta d\theta}{\sqrt{\cos \theta_L - \cos \theta}}$$